

Seed corn grants Terms and conditions

The following are the Nuffield Foundation's ("the Foundation's") standard terms and conditions for seed corn grants. Before applying for a grant, the principal investigator ("PI") and the organisation that will be administering the grant (the "host institution") must check that these terms and conditions are acceptable. Upon acceptance of the award, the PI and host institution are bound by the terms and conditions as are any co-investigators on the grant. The host institution or PI must therefore ensure that any co-investigators are made aware of these conditions.

- 1. The PI and the host institution are responsible for completing the work detailed in the application. The PI and the host institution are also responsible for ensuring that the awarded funding is used solely for the purposes outlined in the application.
- 2. The PI and the host institution are responsible for meeting the requirements as set out in the Notice of award letter, including the delivery of the final report.
- 3. The host institution must ensure that all necessary ethical committee approvals, agreements about access, animal licences and requirements of regulatory authorities and other local governance frameworks are in place to ensure that work carried out under the auspices of seed corn funding are done so in a legal and ethical manner. The PI must notify the Foundation immediately of failure to gain access to research facilities or samples, or to gain ethical approval, or if any access, or legal or regulatory requirements, lapse or are revoked during the course of the grant.
- 4. The host institution must ensure that adequate business continuity plans are in place to ensure that operational interruptions to the project are minimised and communicated to the Foundation in a timely manner.
- 5. It is the responsibility of the host institution to manage the resources on the grant, including staff, and ensure that the project funded is appropriately resourced for its duration. The Foundation is not responsible for the employment of any staff associated with the grant or for their terms and conditions of employment, or for providing appropriate facilities for the work undertaken as part of the grant.
- 6. The liability of the Foundation shall be limited to the payment of the amount awarded as per the award letter.

- 7. The Foundation reserves the right to reclaim funding or terminate an award at its sole discretion if the PI, the host institution, or any staff funded by the grant are in breach of any of these terms and conditions or become unfit, unwilling or unable to pursue the work funded by the grant. Where a grant is terminated, notice will be sent to the applicant's contact details, and after which no further costs will be met.
- 8. In the event these terms and conditions are breached, the Foundation also reserves the right to preclude the PI from applying for further funding and to notify the head of department at their host institution.
- 9. Neither the PI nor the host institution shall assign any of the rights and obligations arising under these terms and conditions to another party without the prior written approval of the Foundation.

Changes to the project

10. The PI must notify the Foundation in advance of making any material changes to the project that affect its original scope. Failure to do so may result in revision or termination of the grant, and the Foundation reserves the right not to fund work that we have not agreed should be undertaken as well as reclaim funds that have been paid to date.

Staffing

- 11. The PI must inform the Foundation immediately if he or she intends to take any substantial leave of absence or leave the host institution during the course of the grant. Decisions about transferring an award from one institution to another, or changing the PI, rest with the Foundation. In the event that an award is transferred, different terms and conditions may apply.
- 12. The Foundation will not provide funds to cover costs incurred as a result of project staff being absent from the project as a result of parental leave, caring responsibilities, or sickness.

Budgets and financial monitoring

- 13. The PI and the host institution (and not the Foundation) are responsible for ensuring proper financial management of the grant and accountability for the use of charitable funds. Grants from the Nuffield Foundation should be treated as 'Restricted Funds' for accounting purposes.
- 14. The amount awarded will be disbursed in a single payment subject to relevant and appropriate banking details being received. No equipment may be bought with the awarded funds.
- 15. All expenditure must be incurred by the end date of the grant. The Foundation is not liable for funding any costs incurred after the end date of the project.

Dissemination, intellectual property, copyright and acknowledgement of the Foundation

- 16. PIs must inform the Foundation about all planned published outputs, giving the Foundation opportunity to review where agreed. Published outputs must include the Foundation's logo and an acknowledgement of the funding received. PIs must share any media releases relating to the grant in advance of them being issued. All media releases should include acknowledgement of the Foundation's funding.
- 17. The Foundation does not allow the title "Nuffield" to be attached to a post, unit, project or product paid for from a grant without its prior written agreement.
- 18. Unless otherwise agreed, the Foundation requires all primary quantitative data collected in the course of a project to be deposited at an appropriate archive within one year of the completion of the grant.
- 19. The host institution is responsible for the identification, protection and exploitation of any intellectual property rights arising from the grant. The PI must notify the Foundation immediately if intellectual property rights of more than £10,000 are expected or likely, or arise unexpectedly. The host institution or PI must not dispose of intellectual property rights of £10,000 or more without the written permission of the Foundation. In exceptional circumstances, the Foundation may seek to recoup more than the cost of the original grant.

Reporting to the Foundation

- 20. It is the responsibility of the PI to keep the Foundation informed in accordance with the stipulated reporting requirements.
- 21. Applicants must submit an end of grant report by end of grant date (stipulated on application). Failure to do so may reflect negatively on future applications to the Foundation.

Data protection

- 22. The Foundation's privacy notice is available from: <u>http://www.nuffieldfoundation.org/privacy-policy</u>
- 23. The PI and host institution are responsible for ensuring that all personal data processed in the delivery of the project is dealt with ethically and in compliance with the requirements of data protection legislation, including the Data Protection Act 2018 and the General Data Protection Regulation.
- 24. The Foundation reserves the right to publish grant and project data in the Foundation's annual accounts, on its website and third party websites such as 360 Giving.